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Paul E. Rauch, Ph.D.

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**Signature**

Our Case No. DGN01-001-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Franklin Okumu )  
Serial No. 09/839,684 ) E  
Filing Date: April 19, 2001 ) G  
For Sustained Release Formulations )

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, required copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

Since this Information Disclosure Statement is being submitted after the mailing of the first Office Action, payment of the fee set forth in 37C.F.R. §1.117(p) accompanies this submission. The Commissioner is hereby authorized to charge any fees associated with this communication not covered by check or credit card payment or credit any overpayment to Deposit Account No. 50-3123. A duplicate copy of this sheet is attached.

Respectfully submitted,

Paul E. Rauch, Ph.D.  
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*27 January 2005*  
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Paul E. Rauch, Ph.D.

Name

Signature

Our Case No. DGN01-001-US



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Franklin Okumu )  
Serial No. 09/839,684 ) Examiner Jeffrey E. Russel  
Filing Date: April 19, 2001 ) Group Art Unit No. 1654  
For Sustained Release Formulations )

### TRANSMITTAL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

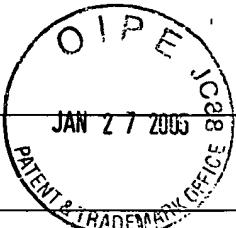
Transmitted herewith is:

- Credit Card Form (\$180.00 Fee for Submission of an IDS after the mailing of a First Office Action)
- Supplemental Information Disclosure Statement
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- References C7 – C11
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Respectfully submitted,

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Form PTO-1449 (Rev. 8-88)	Attorney Docket No. DGN01-001-US	Serial No. 09/839,684
Applicant: Franklin Okumu		
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	Filing Date: April 19, 2001	Group: 1654

#### U.S. PATENT DOCUMENTS

Examiner Initial*		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	C1	5,851,229	12/1998	Lentz, et al.			
	C2	5,981,489	11/1999	Stevenson, et al			
	C3	6,245,806	06/2001	Dombrowski, et al.			
	C4	6,267,958	07/2001	Andya, et al.			
	C5	6,413,536	07/2002	Gibson, et al.			
	C6	6,429,296	08/2002	Yamagata, et al.			

#### FOREIGN PATENT DOCUMENTS

Examiner Initial*		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	C7	WO 92/17200	10/1992	WO				
	C8	WO 94/12158	06/1994	WO				
	C9	WO 00/38652	07/2000	WO				
	C10	WO 01/28524	04/2001	WO				

Examiner Initial*	OTHER ITEMS - NON PATENT LITERATURE DOCUMENTS Include, as applicable: Author, Title, Date, Publisher, Edition or Volume, Pertinent Pages		
	C11	Bartus, et al., "Sustained delivery of proteins for novel therapeutic agents", (1998), Science, vol. 281, pp. 1161-1162.	
	C12		
	C13		

Examiner	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.